

## ***Topic 6. Radiation Fundamentals***

**Telecommunication Systems Fundamentals**

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Academic year 2.013-2.014

### **Concepts in this Chapter**

- *Antennas: definitions and classification*
- *Antenna parameters*
- *Fundamental Theorems: uniqueness and reciprocity. Images' method*
- *Friis' equation*
- *Link Budget of a Radio-Link*

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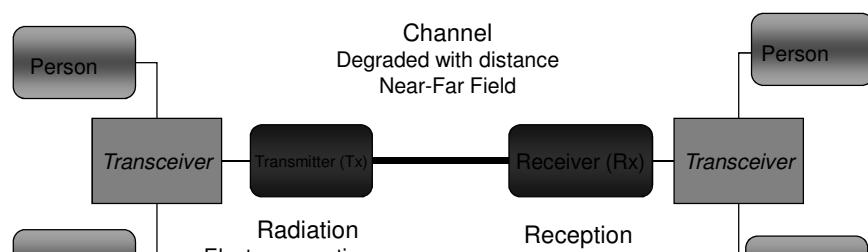
Antenna Theory and Design. W.L. Stutzman, G.A. Thiele.  
John Wiley & Sons

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## Introduction: Radio-Telecommunication Systems

- Info transmission implies to transmit a signal (with a given energy) through a radio-channel



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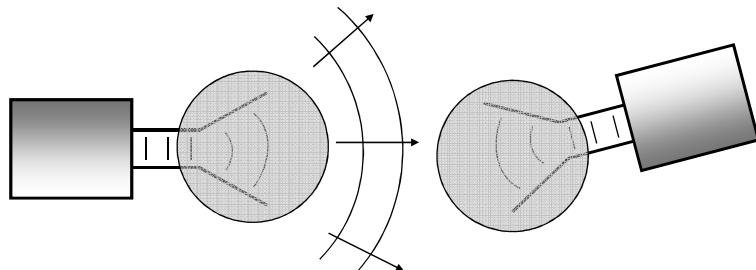
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## Introduction: Transmitting and Receiving Antenna

- An antenna can either transmit (radiate) energy in Transmission



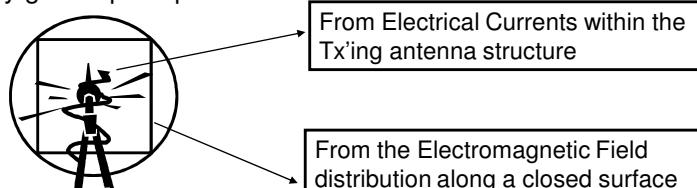
- Or capture energy in Reception

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## Radiation Performance of an Antenna

- Radiation is the electromagnetic energy flux outward from a source
- Basic Problem in electromagnetic theory:
  - Calculus of the electromagnetic field produced by a structure in any given space point



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## Efficiency as Main Objective in Antennas

- Efficiency is the main objective when designing/ selecting an antenna
  - Maximize the electromagnetic field power in a given point given an amount of power provided to the antenna
- Which antenna parameters should we consider
  - Phase Center
  - Power Parameters
    - » Radiated power flux density
    - » Radiation intensity
    - » Directivity
    - » Power Gain
  - Gain diagram
  - Polarization
  - Bandwidth

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## Power Parameters: Poynting's Theorem

- **Complex Poynting's Vector:** electromagnetic energy flux density through a given surface

$$S = \frac{1}{2} \operatorname{Re} \{ \bar{E} \times \bar{H}^* \}$$

- **Average Power:** Poynting's vector flux

$$P_{\text{media}} = \iint S \cdot dS \quad [\text{W}]$$

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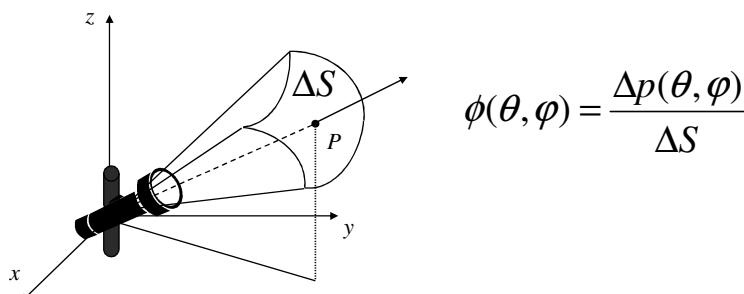
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## Power Parameters: Radiation Density

- Average radiated power **per surface unit** in a given direction

$$\phi(\theta, \varphi) = \frac{1}{2} \operatorname{Re}\{\bar{E} \times \bar{H}^*\} \left[ \frac{W}{m^2} \right]$$



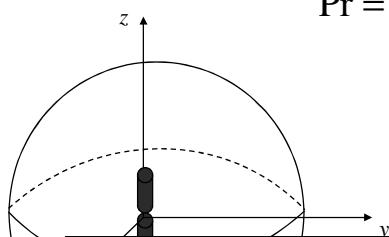
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## Power Parameters: Radiated Power

- Sum up** radiated flux density along a sphere surface that circumscribe the antenna

$$P_r = \int \int \phi(\theta, \varphi) \cdot dS \quad [W]$$



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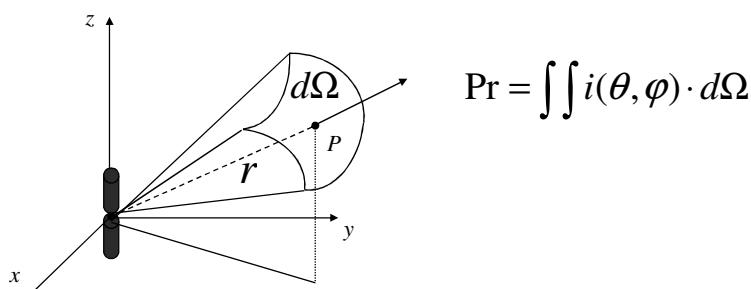
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## Power Parameters: Radiation Intensity

- Average radiated power **per solid angle unit** in a given direction

$$i(\theta, \varphi) = \frac{\Delta p(\theta, \varphi)}{\Delta \Omega} \quad \left[ \frac{W}{\text{esteroradian}} \right]$$



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## Power Parameters: Radiation Intensity

- Independently of the distance** from the antenna

$$\Delta S = r^2 \Delta \Omega \Rightarrow \phi(\theta, \varphi) \cdot r^2 = i(\theta, \varphi)$$

- The Power Flux Density decreases with distance inversely proportional to the area of the spherical solid angle

$$r(\theta, \varphi) = \frac{i(\theta, \varphi)}{i_{\max}}$$

- Radiation Diagram (power-wise)

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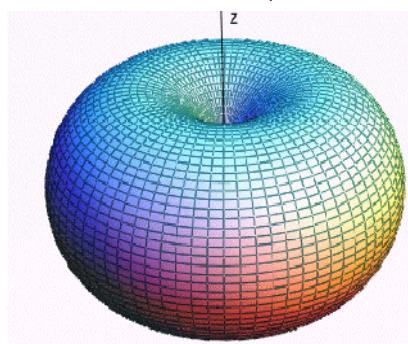
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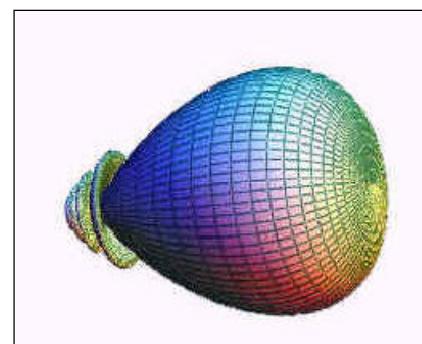
## Power Parameters: Radiation Intensity

Omnidirectional (on Azimuth)



Dipolo: typical on cellular terminals

Directive



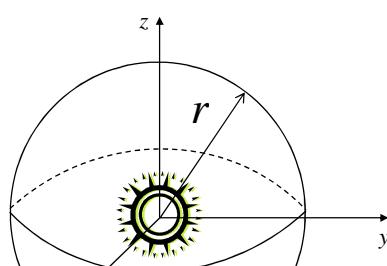
Yagi: typical for television receivers

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## Power Parameters: Isotropic Antenna

- **Ideal** point source that radiates **uniformly** in all directions



$$i_{iso} = \frac{Pr}{4\pi}$$
$$\phi_{iso} = \frac{Pr}{4\pi \cdot r^2}$$

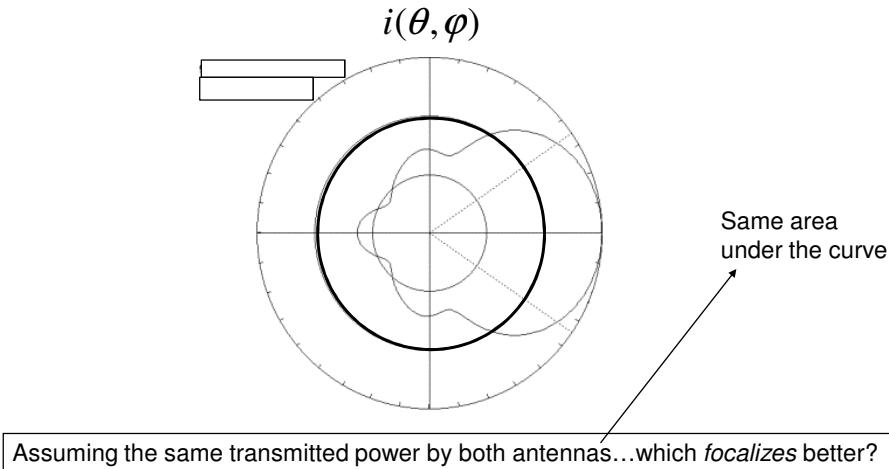
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## Power Parameters: Isotropic Antenna



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## Power Parameters: Directivity (function of direction)

- Ratio between the power density flux an antenna radiates and the one an isotropic (omnidirectional) antenna would do, as a function of the radiating direction

$$D(\theta, \varphi) = \frac{i(\theta, \varphi)}{i_{iso}} = 4\pi \frac{i(\theta, \varphi)}{Pr}$$

Pr  $\downarrow$   $\downarrow$  Pr

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## Power Parameters: Directivity

- Directivity is defined as the maximum value of the Directivity function

$$D = 4\pi \frac{i_{\max}}{Pr}$$

– Because  $Pr = \int \int i(\theta, \varphi) \cdot d\Omega$        $r(\theta, \varphi) = \frac{i(\theta, \varphi)}{i_{\max}}$

$$D = \frac{4\pi}{\Omega_A} \quad \text{being} \quad \Omega_A = \int \int r(\theta, \varphi) d\Omega$$

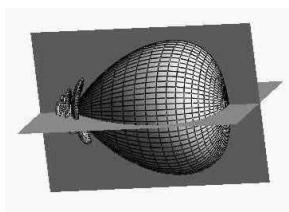
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## Power Parameters: Directivity

- When the Beam is narrow

$$D \approx \frac{4\pi}{\theta_1 \theta_2}$$



- Conclusions

- Directivity provides information about how the radiated power is distributed with direction (elevation and azimuth)
- Directivity does not provide information about the actual

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## Power Parameters: Gain Function

- **Ratio** between the power intensity radiated in a direction and the radiated intensity of an isotropic antenna, given a power available to the antenna

$$G(\theta, \varphi) = 4\pi \frac{i(\theta, \varphi)}{P_{in}}$$

being  $P_{in}$  the power available at the antenna input

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## Power Parameters: Gain

- Gain is the maximum value of the Gain Function

$$G = 4\pi \frac{i_{\max}}{P_{in}}$$

– Because it is a ratio, the units are dBs

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## Power Parameters: Examples of Gain

ANTENNA TYPE	GAIN (dBi)
Isotropic	0,0
Ground Plane 1/4 wavelength	1,8
Dipole 1/2 wavelength	2,1
Monopole 5/8 wavelength	3,3
Yagui 2 elements	7,1
Yagui 3 elements	10,1
Yagui 4 elements	12,1
Yagui 5 elements	14,1

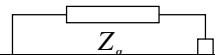
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## Power Parameters: Efficiency

- $P_{in}$  and  $\mathbf{Pr}$  are related to each other around radiating **Efficiency** of the antenna

$$\mathbf{Pr} = \eta \cdot P_{in}$$
$$\eta_{cd} = \frac{R_r}{R_r + R_L}$$
$$\eta_d = 1 - |\Gamma|^2$$
$$\Gamma = \frac{Z_a - Z_g}{Z_a + Z_g}$$



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## Power Parameters: Efficiency

- From the above definition of Efficiency, the relationship between Gain and Directivity of an antenna can be derived

$$G = \eta \cdot D$$

- Can the Gain of an antenna be increased by increasing the Directivity?

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## Example

- A dipole of half wavelength without losses, with input impedance of  $73\Omega$  is connected to a transmission line with characteristic impedance of  $50\Omega$ . Assuming the radiating intensity of the antenna is

$$i(\theta) = B_0 \sin^3(\theta)$$

Compute the Gain of the Antenna

$$i_{\max} = i_{\max}(\theta) = B_0$$
$$P = \frac{2\pi\pi}{D} \int_0^{\pi} D(\theta) \sin^3 \theta - 2\pi P \int_0^{\pi} \sin^4 \theta d\theta = P \left( \frac{3\pi^2}{8} \right)$$

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## Example Answer

$$i_{\max} = i_{\max}(\theta) = B_0$$

$$P_r = \int_0^{2\pi} \int_0^{\pi} D(\theta) \sin \theta d\theta = 2\pi B_0 \int_0^{\pi} \sin^4 \theta d\theta = B_0 \left( \frac{3\pi^2}{4} \right)$$

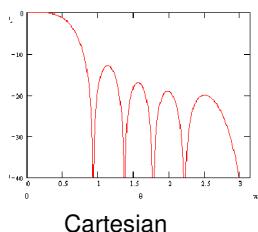
$$D = 4\pi \frac{i_{\max}}{P_r} = 1.697$$

$$G = \eta \cdot D = \left( 1 - |\Gamma|^2 \right) D = \left( 1 - \left| \frac{73-50}{73+50} \right|^2 \right) 1.697 = 0.965 \cdot 1.697 = 1.638 = 2.14 dB$$

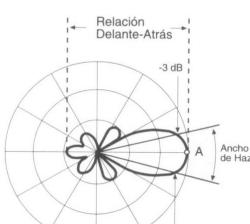
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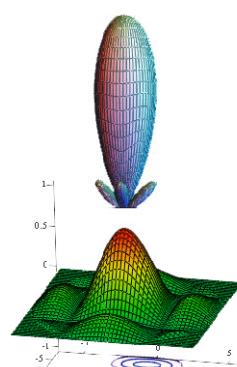
## Radiation Diagram



Cartesian



Polar



What parameter are useful?

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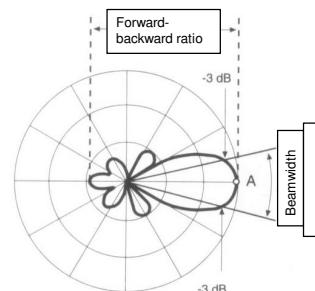
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## Radiation Diagram

- Parameters to characterize the lobe structure
  - Beamwidth
    - Null to Null Beamwidth
    - Half Power Beamwidth (HPBW) – 3dBs
    - 10 dB Beamwidth
  - Lobes
    - Main lobe
    - Side lobes
      - First lobe
    - Backlobe
  - Forward-backward ratio



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## Radiation Diagram: Classification

- Isotropic
- Omnidirectional
- Directive
- Multi-beam

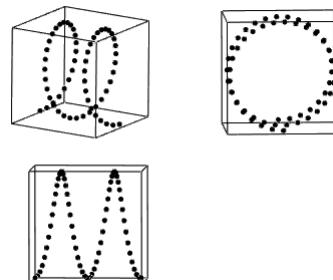
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## Polarization

- Of a **Plane-wave**, it refers to the spatial orientation of the time-variation of the electric field
- Of an **antenna**, it refers to the polarization of the radiated field
  - Generally speaking polarization is defined according to the propagation direction

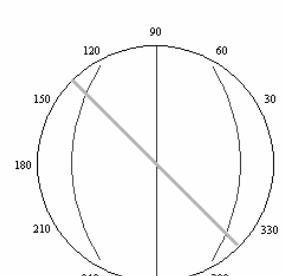
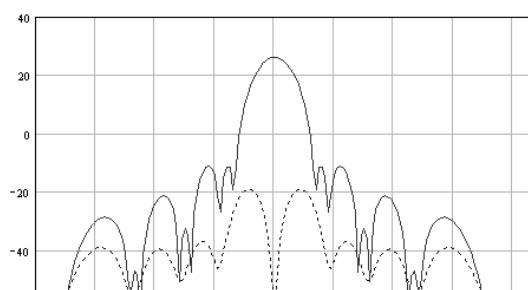


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## Polarization

- Co-Polar and Cross-Polar components



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## Antenna Bandwidth

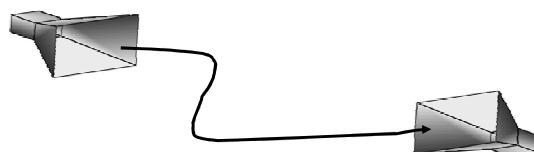
- Frequency margin where the defined parameters for the antenna remain valid (impedance, beamwidth, sidelobes ratio, etc.)
  - Narrowband Antennas (<10% central frequency)
  - Broadband Antennas (>10% central frequency)

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## Antenna Radiation on Free-Space Condition

- What is Free-Space condition: no obstacles or material to influence the radiation pattern – not even the ground



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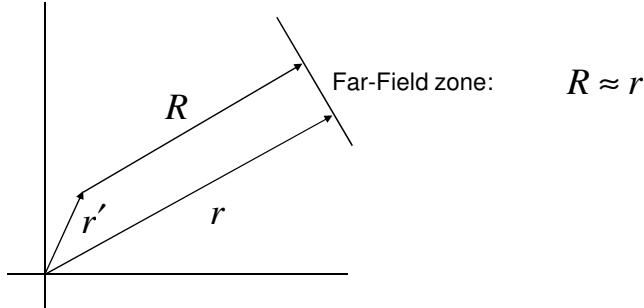
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## Radiation Zones

- When the distance is much greater than the wavelength,  $R \gg \lambda$ , the observed wave behaves as a Plane-Wave.
- When can we consider we are “far enough”?

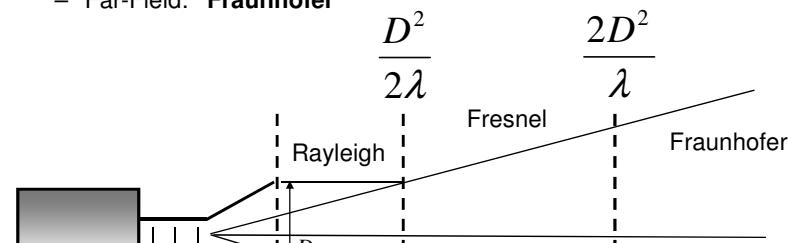


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## Radiation Zones

- Simplifying but useful approach: three zones are defined:
  - Near-Field: **Rayleigh** (spheric propagation)
  - Intermediate-Field: **Fresnel** (interferences)
  - Far-Field: **Fraunhofer**



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## Radiation Zones: Far-Field

- Conclusions:
  - Power decreases as **square of the distance**
  - Satisfy condition of **Plane-Wave**
    - E and H fields are perpendicular
    - Amplitude of E and H fields are related to each other through the transmission mean impedance
  - The type of the transmitting antenna affects only on the angular variation of the transmitted power flux (**Radiation Diagram**)
  - **Transmission direction of the wave propagation** coincides with **line of sight** of the transmitting antenna

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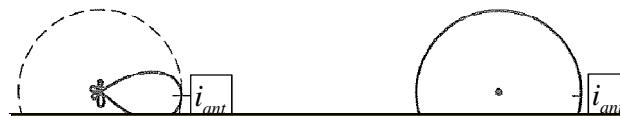
## **EIRP - Equivalent Isotropic Radiated Power**

- The product of the antenna Gain by the available Power

$$PIRE = G \cdot P_{in}$$

$$i_{iso} = \frac{P_{in}}{4\pi}$$

$$i_{ant} = \frac{G \cdot P_{in}}{4\pi} = \frac{PIRE}{4\pi}$$



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## **EIRP**

- Its units are dBW (or dBm)
- We will see later, this parameter (expressed on dBW) is quite useful when computing the “availability” of the radio-link
- Example: if the Gain of an antenna is 2dBi and it gets a power of 10W, How much is its EIRP?

$$G = 2 \text{ dB}$$

$$P_{in} = 10 \cdot \log(10) = 10 \text{ dB}$$

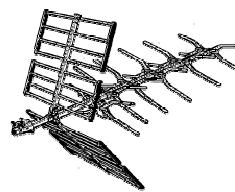
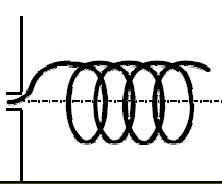
$$PIRE = 12 \text{ dB}$$

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## **Lineal Antennas**

- Antennas built with thin electrically conductive wires (very small diameter compared to  $\lambda$ ).
- They are used extensively in the MF, HF, VHF and UHF bands, and mobile communications.
- Among others:
  - Dipole
  - Monopole
  - Yagi antenna
  - Loops



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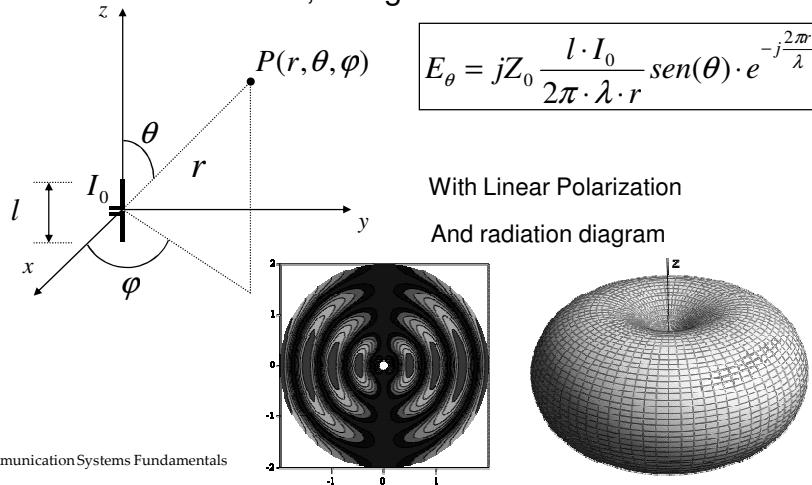
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## Lineal Antennas: Infinitesimal Dipole

- Formed by two short conductive wires symmetrically feeded at its center, being  $l \ll \lambda$ .



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## Lineal Antennas: Infinitesimal Dipole

- Computing the magnetic field from the electrical, calculating the power flux and integrating for all  $\theta$  we get

$$Pr = Z_0 \left( \frac{2\pi}{3} \right) \left( \frac{l \cdot I_0}{\lambda} \right)^2 = I_0^2 R_r$$

$$R_r = 80\pi^2 \left( \frac{l}{\lambda} \right)^2$$

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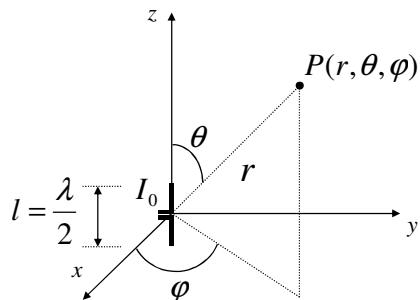
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## Lineal Antennas: Half-Wavelength Dipole

- Very common antenna, with a “convenient” radiation impedance of  $73\Omega$



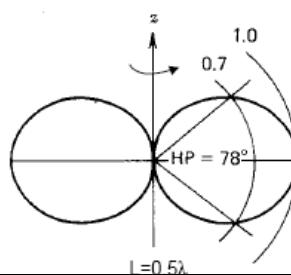
$$E_\theta = jZ_0 \frac{I_0 e^{-j\frac{2\pi r}{\lambda}}}{2\pi \cdot r} \left[ \frac{\cos\left(\frac{\pi}{2} \cos\theta\right)}{\sin\theta} \right]$$

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## Lineal Antennas: Half-Wavelength Dipole

- Radiation parameters



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## Radiated Field over a Perfect Conductor

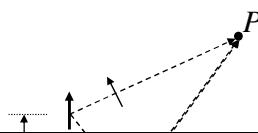
- Up to this point we have assumed the antennas are in the free-space environment. However they usually are close to the ground
- When the distance to ground is comparable to the wavelength, and the beamwidth is large, antenna radiation is heavily affected by the presence of the ground
- For these scenarios, we will assume the ground is a perfect conductor, infinite and plane

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## Image Theory

- Intuitively, the field is reflected on the ground
  - Perfect conductor: the transmitted wave is reflected
  - The field  $P$  is the result of the sum of the direct and reflected waves
  - Field  $P$  is the result of the primary and image waves in the equivalent free-space scenario
    - Which is valid only for the upper half semi-space



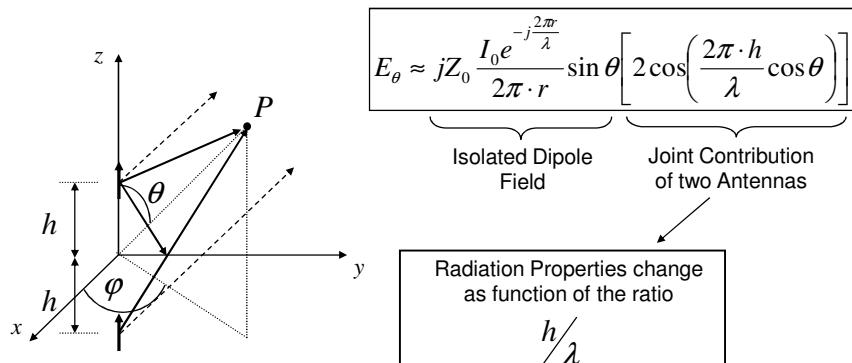
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## Image Theory

- Example: field produced by a Infinitesimal dipole

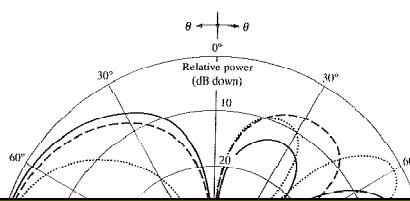


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## Image Theory

- Example (cont.)
  - If  $\lambda \gg h$  then, directivity increases by 3dB! -> decrease the size
  - Otherwise....



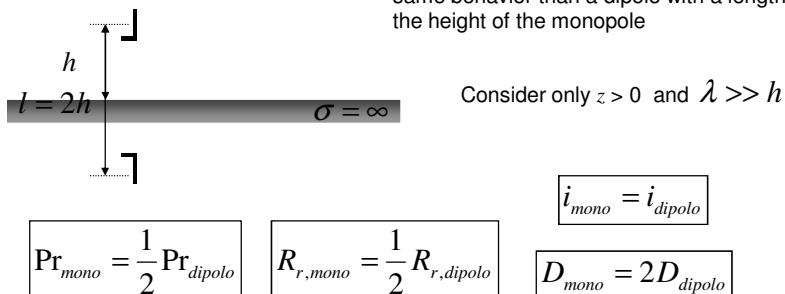
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## Monopole

- Vertical Dipole divided to its half, that is fed between wire end and a conductive plane
  - Applying Image theory, it can be proven that a monopole above a conductive plane exhibit the same behavior than a dipole with a length twice the height of the monopole



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## Monopole

- Example:  $\lambda/4$  monopole
  - As shown before, the monopole exhibit the same performances than a  $\lambda/2$  dipole and therefore its directivity is
$$D = 5.15 \text{ dBi}$$
- At low frequencies, this antenna has quite large physical dimensions
  - Example: the standard AM transmitter for frequency carrier

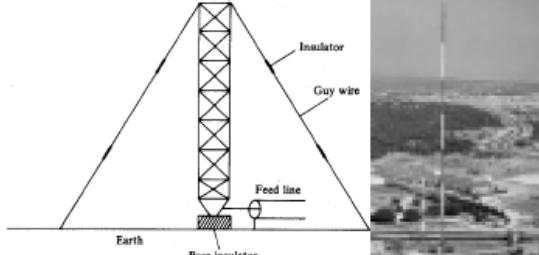
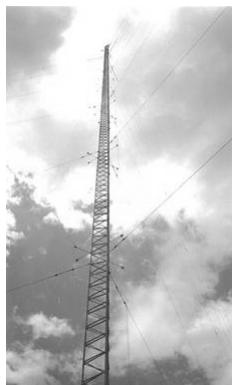
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## Monopole

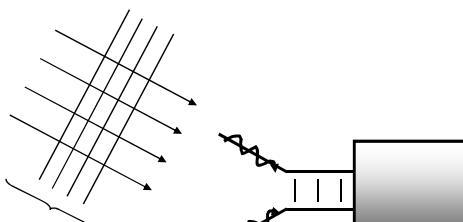


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## Reception of an Electromagnetic Field

- If an electromagnetic wave, with a plan-wave like propagation, runs over a conductor (antenna) it generates a current distribution over it



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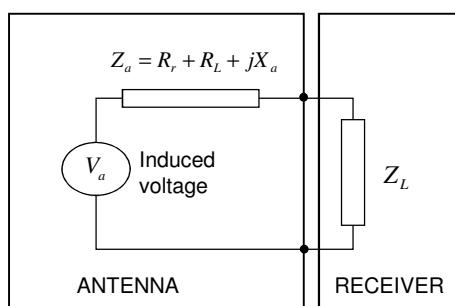
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## Equivalent Circuit Model for a Receiving Antenna

- An antenna at reception is designed to optimize the power handed out at its terminal



- Available power at antenna

$$P_a = \frac{|V_a|^2}{8R_a}$$

- Power handed out

$$P_L = \frac{1}{2} |I_L|^2 R_L = P_a (1 - |\Gamma|^2)$$

$$\Gamma = \frac{Z_a - Z_L}{Z_a + Z_L}$$

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## Reciprocity Theorem

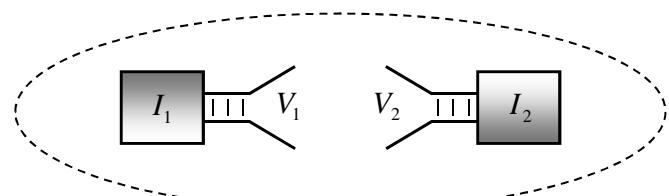
- It allows to relate the properties of an antenna when receiving and transmitting
- "The relationship between an oscillating current and the resulting electric field is unchanged if one interchanges the points where the current is placed and where the field is measured"
  - For the specific case of an electrical network, it is sometimes phrased as the statement that voltages and currents at different points in the network can be interchanged"

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## Reciprocity Theorem

- Suppose an anechoic chamber (no echo) in which are placed two antennas, both can transmit and receive, and operating at the same frequency



- The roles of sending and receiving can be exchanged. Thus, the radiation patterns of transmitting and receiving are the same

$$\text{If } I_1 \rightarrow V_{2,ca} \Rightarrow V_{1,ca} = V_{2,ca} \text{ when } I_2 \rightarrow V_{1,ca}$$

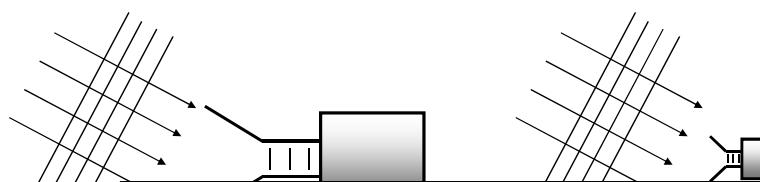
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$$I_1 = I_2$$

53

## Effective Aperture

- Effective Aperture of an antenna characterizes the electromagnetic energy that it is able to capture
- Intuitively a large antenna captures more power, as it has more area



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## Effective Aperture

- Equivalent aperture is defined as

$$A_{ef} = \frac{\text{Potencia entregada}}{\text{Densidad de potencia incidente}} = \frac{P_L}{\phi_i} = \frac{|I_L|^2 R_L / 2}{\phi_i}$$

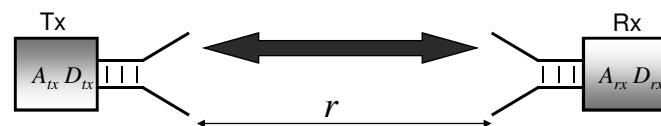
- The value does not have to match the dimensions (physical) of the antenna.
- When the antenna is flat, the physical relationship between the opening ( $A_f$ ) and the effective aperture ( $A_{eff}$ ) is known as aperture efficiency, verifying that:

$$A_{eff} = \epsilon_{ap} A_f \quad \text{con } 0 \leq \epsilon_{ap} \leq 1$$

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55

## Directivity vs Maximum Effective Aperture



$$\phi_{tx} = \frac{P_{tx}}{4\pi \cdot r^2} D_{tx}$$

$$P_{rx} = \phi_{tx} A_{rx} = \frac{P_{tx} D_{tx} A_{rx}}{4\pi \cdot r^2} \quad \longrightarrow \quad D_{tx} A_{rx} = \frac{P_{rx}}{P_{tx}} (4\pi r^2)$$

$$D_{tx} A_{rx} = \frac{P_{rx}}{P_{tx}} (4\pi r^2)$$

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## Directivity vs Maximum Effective Aperture

$$\frac{D_{tx}}{A_{tx}} = \frac{D_{rx}}{A_{rx}} \Rightarrow \frac{D_{tx}}{A_{tx,m}} = \frac{D_{rx}}{A_{rx,m}}$$

- The above solution is valid for any antenna. For an infinitesimal dipole it can be proof that

$$A_{ef} = \frac{3\lambda^2}{8\pi} = \frac{\lambda^2}{4\pi} D$$

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## Directivity vs Maximum Effective Aperture

- In case of losses associated to the antenna, the maximum effective aperture is

$$A_{ef} = \eta_{cd} (1 - |\Gamma|^2) \frac{\lambda^2}{4\pi} D = \frac{\lambda^2}{4\pi} G$$

$$G = \frac{4\pi}{\lambda^2} A_{ef}$$

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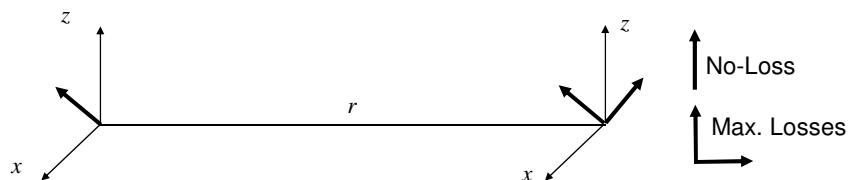
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## Polarization Mismatch

- The difference of polarization between transmitting and receiving antennas, it is known as **Polarization Loss Factor**

$$L_{\text{polarization}} = |\bar{e}_{tx} \cdot \bar{e}_{rx}^*|^2$$

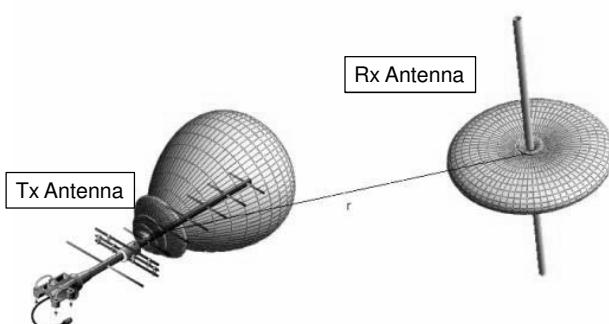
Polarization Vector For Tx antenna      Polarization Vector For Rx antenna



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## Gains in the Radio Link: Tx and Rx Gains



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## Friis Transmission Equation

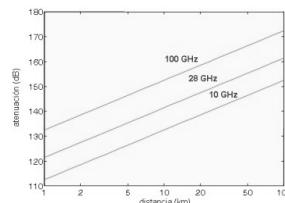
- For Isotropic antennas and free-space propagation

→ The basic losses are

$$\left. \begin{aligned} p_{Rx} &= \phi_{iso} \cdot s_{eq_{iso}} = \phi_{iso} \cdot \frac{\lambda^2}{4\pi} \\ \phi_{iso} &= \frac{p_{Tx}}{4\pi d^2} \end{aligned} \right\} \Rightarrow l_{bf} = \frac{p_{Tx}}{p_{Rx}} = \left( \frac{4\pi d}{\lambda} \right)^2$$

$$L_{bf} (dB) = 32,45 + 20 \log f (MHz) + 20 \log d (km)$$

$$L_{bf} (dB) = 92,45 + 20 \log f (GHz) + 20 \log d (km)$$



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61

## Friis Transmission Equation

- For any pair of antennas and free-space propagation

→ The basic losses are

$$\left. \begin{aligned} l_{tf} &= \frac{p_{et}}{p_{dr}} \quad \begin{cases} p_{et} : \text{power handed in to Tx antenna} \\ p_{dr} : \text{power handed in to Rx antenna} \end{cases} \\ p_{dr} &= \phi \cdot s_{eq} = \phi \cdot \frac{\lambda^2}{4\pi} \cdot g_{Rx} \\ \phi &= \frac{p_{et}}{4\pi d^2} \cdot g_{Tx} \end{aligned} \right\} \Rightarrow l_{tf} = \frac{p_{Tx}}{p_{Rx}} = \left( \frac{4\pi d}{\lambda} \right)^2 \cdot \frac{1}{g_{Tx} \cdot g_{Rx}} = \frac{l_{bf}}{g_{Tx} \cdot g_{Rx}}$$

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## Friis Transmission Equation

- Summarizing, for any antenna

$$L_t = \frac{P_{Tx}}{P_{Rx}} = a_e \cdot \left( \frac{4\pi d^2}{\lambda} \right) \cdot \frac{1}{g_{Tx} \cdot g_{Rx}}$$

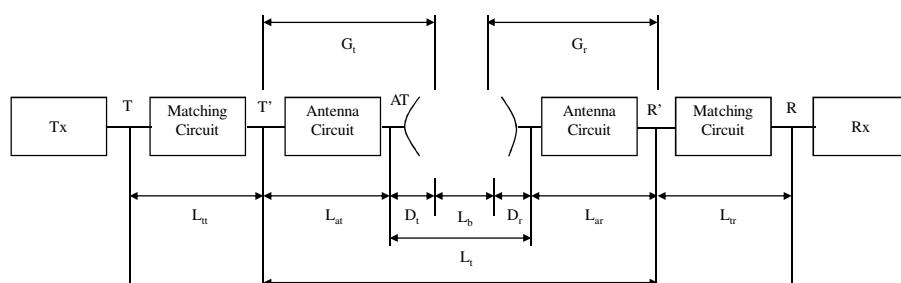
$$L_t = L_{bf} + A_e - G_t - G_r = L_b - G_t - G_r$$

	Usual Notation	Definition	
		Antennas	Mean
Free-Space Basic Loss	$L_{bf}$	Isotropic	Free-Space
Basic Loss	$L_b$	Isotropic	Any
Free-Space Transmission Loss	$L_{tf}$	Any	Free-Space
Transmission Loss	$L_t$	Any	Any

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## Friis Transmission Equation



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## Link Budget

- Link Budget = expression for available power at the receiver as a function of
  - Transmitted Power
  - Rx and Tx Antenna Gains
  - All the losses in the link

$$P_{Rx} = P_{Tx} - L_{bf} + G_{Tx} + G_{Rx} - A_e$$

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## Link Budget

- Other factors affecting the link
  - Normalized Noise Power
    - SNR
    - Power-limited Systems
      - Minimum Received Power (Sensibility) + Fading Margin
      - The maximum distance between Tx and Rx is calculated by the Link Budget
  - Interference

$$p_n = k \cdot T_0 \cdot b \cdot f_{sis} \quad P_n(dBm) = F_{sis}(dB) + 10 \log b(Hz) - 174$$

$$p_n = k \cdot T_{eq} \cdot b$$

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## Important Concepts in this Topic

- Poynting Vector
- Radiated Power Flux Density
- Antenna Directivity
- Antenna Gain
- Antenna Efficiency
- Antenna Effective Aperture
- Polarization
- Reciprocity Theorem
- Most common simple antennas
- Friis Equation and Link Budget
- Free-Space Basic Propagation Loss

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